

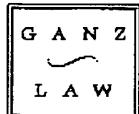
*JMP*

Rec'd PCT/PTO 17 OCT 2006

OCT/17/2006/TUE 06:38 PM Ganz Law

FAX No. 503 296 2172

P. 001



4660 N.E. Belknap Court, Suite 221  
P.O. Box 2200, Hillsboro, Oregon 97123 U.S.A.  
TEL 503.844.9009 FAX 503.296.2172 EMAIL mail@ganzlaw.com  
*A Professional Corporation*

---

**Date** October 17, 2006

**To** Mail Stop PCT, US/RO of PCT

**From** Bradley M. Ganz, Ganz Law, P.C.

**Re** United States National Phase Application No. 10/598,055;  
corresponding to PCT/AU2005/000227

Attorney Docket No.: GRI-2.003.PCT.US

**Fax** 571-273-3201

**Pages** 5 + cover sheet

PLEASE SEE THE ATTACHED

1390 Transmittal Form	3 pages
Executed Inventor Declaration	2 pages
<i>Credit Card Form</i>	<i>1 page</i>

---

**Confidentiality Notice:** The information contained in this facsimile transmission may be privileged and confidential and is intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, this serves as notification that any reading, disclosure, copying, distribution, or the taking of any action in reliance on the contents of this communication is strictly prohibited. If this transmission was received in error, please immediately notify Ganz Law at the number below to arrange for the return of the original facsimile.

:1lb

PTO-1390 (Rev. 07-2006)

Approved for use through 3/31/2007. OMB 0851-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER</b> GRI-2.003.PCT.US
INTERNATIONAL APPLICATION NO. PCT/AU2005/000227	INTERNATIONAL FILING DATE February 21, 2005	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/598,055</b>
TITLE OF INVENTION AN APPARATUS FOR PLASMA TREATMENT		
APPLICANT(S) FOR DO/EO/US Yongbal YIn, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (8) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))        a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).        b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.        c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).        a. <input type="checkbox"/> is attached hereto.        b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))        a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).        b. <input type="checkbox"/> have been communicated by the International Bureau.        c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.        d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p>		
<p>10/30/2006 PBOKER 00000006 10598055        16. <input type="checkbox"/> A power of attorney and/or change of address letter.        200.00 OP</p>		
<p>91 HC1633        92 HC1644        93 HC1647</p> <p>A computer-readable form of the above correspondence listing in accordance with PCT Rule 13(ter.2) and 37 CFR 1.821- 1.825.        130.00 OP</p>		
<p>19. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 3

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER																																																																																																																																								
		PCT/AU2005/000227		GRI-2.003.PCT.US																																																																																																																																								
20. Other items or information:																																																																																																																																												
<p>The following fees have been submitted</p> <table border="1"> <tr> <td colspan="2">21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....</td> <td>\$300</td> <td>CALCULATIONS</td> <td>PTO USE ONLY</td> </tr> <tr> <td colspan="2">22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))</td> <td></td> <td>\$ PAID</td> <td></td> </tr> <tr> <td colspan="2">If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). ....</td> <td>\$0</td> <td></td> <td></td> </tr> <tr> <td colspan="2">All other situations, .....</td> <td>\$200</td> <td></td> <td></td> </tr> <tr> <td colspan="2">23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))</td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="2">If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). ....</td> <td>\$0</td> <td></td> <td></td> </tr> <tr> <td colspan="2">Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority.....</td> <td>\$100</td> <td></td> <td></td> </tr> <tr> <td colspan="2">International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....</td> <td>\$400</td> <td></td> <td></td> </tr> <tr> <td colspan="2">All other situations.....</td> <td>\$500</td> <td></td> <td></td> </tr> <tr> <td colspan="2"><b>TOTAL OF 21, 22 and 23 =</b></td> <td><b>600.00</b></td> <td></td> <td></td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(l)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Total Sheets</td> <td>Extra Sheets</td> <td>Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td>RATE</td> <td></td> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> <td></td> <td>x \$250</td> <td>\$</td> </tr> <tr> <td colspan="2">Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).</td> <td></td> <td>\$ 130.00</td> <td></td> </tr> <tr> <td colspan="2">CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> </tr> <tr> <td colspan="2">Total claims</td> <td>- 20 =</td> <td></td> <td>x \$ 50</td> </tr> <tr> <td colspan="2">Independent claims</td> <td>- 3 =</td> <td></td> <td>x \$200</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (If applicable)</td> <td></td> <td>+ \$360</td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td colspan="2"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$ 730.00</b></td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.</td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="2"></td> <td colspan="2"><b>SUBTOTAL =</b></td> <td><b>\$ 730.00</b></td> </tr> <tr> <td colspan="2">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(l)).</td> <td></td> <td>+ \$</td> <td></td> </tr> <tr> <td colspan="2"></td> <td colspan="2"><b>TOTAL NATIONAL FEE =</b></td> <td><b>\$</b></td> </tr> <tr> <td colspan="2">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td></td> <td>+ \$</td> <td></td> </tr> <tr> <td colspan="2"></td> <td colspan="2"><b>TOTAL FEES ENCLOSED =</b></td> <td><b>\$ 730.00</b></td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Amount to be charged</td> <td>\$</td> </tr> </table>						21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....		\$300	CALCULATIONS	PTO USE ONLY	22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))			\$ PAID		If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). ....		\$0			All other situations, .....		\$200			23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). ....		\$0			Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority.....		\$100			International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....		\$400			All other situations.....		\$500			<b>TOTAL OF 21, 22 and 23 =</b>		<b>600.00</b>			<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(l)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		- 100 =	/50 =		x \$250	\$	Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 130.00		CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	Total claims		- 20 =		x \$ 50	Independent claims		- 3 =		x \$200	MULTIPLE DEPENDENT CLAIM(S) (If applicable)			+ \$360	\$			<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 730.00</b>	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							<b>SUBTOTAL =</b>		<b>\$ 730.00</b>	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(l)).			+ \$				<b>TOTAL NATIONAL FEE =</b>		<b>\$</b>	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$				<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 730.00</b>			Amount to be refunded:		\$			Amount to be charged		\$
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....		\$300	CALCULATIONS	PTO USE ONLY																																																																																																																																								
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))			\$ PAID																																																																																																																																									
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). ....		\$0																																																																																																																																										
All other situations, .....		\$200																																																																																																																																										
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))																																																																																																																																												
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). ....		\$0																																																																																																																																										
Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority.....		\$100																																																																																																																																										
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....		\$400																																																																																																																																										
All other situations.....		\$500																																																																																																																																										
<b>TOTAL OF 21, 22 and 23 =</b>		<b>600.00</b>																																																																																																																																										
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(l)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																																																																																																																												
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																																																																																																																									
- 100 =	/50 =		x \$250	\$																																																																																																																																								
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 130.00																																																																																																																																									
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE																																																																																																																																								
Total claims		- 20 =		x \$ 50																																																																																																																																								
Independent claims		- 3 =		x \$200																																																																																																																																								
MULTIPLE DEPENDENT CLAIM(S) (If applicable)			+ \$360	\$																																																																																																																																								
		<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 730.00</b>																																																																																																																																								
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.																																																																																																																																												
		<b>SUBTOTAL =</b>		<b>\$ 730.00</b>																																																																																																																																								
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(l)).			+ \$																																																																																																																																									
		<b>TOTAL NATIONAL FEE =</b>		<b>\$</b>																																																																																																																																								
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$																																																																																																																																									
		<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 730.00</b>																																																																																																																																								
		Amount to be refunded:		\$																																																																																																																																								
		Amount to be charged		\$																																																																																																																																								

OCT/17/2006/TUE 06:39 PM Ganz Law

FAX No. 503 296 2172

P. 004

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

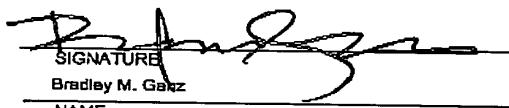
- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. \_\_\_\_\_ In the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 503-1001. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:



22874  
PATENT TRADEMARK OFFICE

  
\_\_\_\_\_  
SIGNATURE  
Bradley M. Ganz  
\_\_\_\_\_  
NAME  
\_\_\_\_\_  
34,170  
\_\_\_\_\_  
REGISTRATION NUMBER